

ANNOUNCEMENT OF FEDERAL FUNDING OPPORTUNITY

EXECUTIVE SUMMARY

Federal Agency Name(s): National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

Funding Opportunity Title: 2011 Mid-Atlantic Research Set-Aside Program

Announcement Type: Initial

Funding Opportunity Number: NOAA-NMFS-NEFSC-2011-2002247

Catalog of Federal Domestic Assistance (CFDA) Number: 11.454, Unallied Management Projects

Dates: Applications must be received on or before 5 p.m. EST on March 22, 2010. Proposals received after the established deadline will be rejected and returned to the sender without consideration. For applications submitted through Grants.gov, a date and time receipt indication will be the basis of determining timeliness. For those not having access to the Internet, one signed original and two hard copy applications must be received by the established due date for the program at the following address: Cheryl A. Corbett, NMFS, Northeast Fisheries Science Center, 166 Water Street, Woods Hole, MA 02543. Use of U.S. mail or another delivery service must be documented with a receipt. No facsimile or electronic mail proposals will be accepted. January 1, 2011, should be used as the proposed start date on proposals, unless otherwise directed by the Program Officer.

Funding Opportunity Description: NMFS, in cooperation with the Mid-Atlantic Fishery Management Council (Council), is soliciting proposals under the 2011 Mid-Atlantic Research Set-Aside (RSA) Program that address research priorities concerning the summer flounder, scup, black sea bass, Loligo squid, Illex squid, Atlantic mackerel, butterfish, bluefish, and tilefish fisheries. The Mid-Atlantic RSA Program was created by the Council as a vehicle to fund research projects through the sale of research quota. Under this program, the Council may set aside up to 3-percent of the total allowable landings (TAL) from the above listed species to fund selected projects. Proceeds from the sale of research quota are used to pay for research costs and to compensate fishing vessels that harvest research quota. Any additional funds, generated through the sale of the fish harvested under the research quota, above the cost of the research activities, shall be retained by the vessel owner as compensation for use of his/her vessel. Participating vessels may be authorized to harvest and land fish in excess of Federal possession limits and/or during fishery closures. No Federal funds are provided for research under this notification. NMFS and the Council will give priority to funding proposals addressing the research needs identified in Section I-B of this document.

FULL ANNOUNCEMENT TEXT

I. Funding Opportunity Description

A. Program Objective

The Mid-Atlantic RSA Program was created by the Council as a vehicle to fund research projects through the sale of research quota. Framework Adjustment 1 to the Summer Flounder, Scup, and Black Sea Bass Fishery Management Plan (FMP), Atlantic Mackerel, Squid, and Butterfish FMP, Bluefish FMP, and Tilefish FMP established a procedure through which RSA quotas are set-aside as part of the Council's annual quota-setting process. The set-asides may range between 0 and 3 percent of each species' TAL. The set-aside for a given species is designated primarily for research involving that species. However, to promote research for species where it would otherwise be infeasible, individual research projects may request up to 25 percent of the set-aside allocations for other species listed in this notice.

B. Program Priorities

Projects must enhance understanding of the fishery resource and contribute to the body of information on which management decisions are made. The Council and NMFS will give priority to funding research proposals in the following areas identified as research priorities by the Council and Atlantic States Marine Fisheries Commission (Commission) for the 2011 fishing year.

The numbering or sequence of priorities in the sections below is not otherwise intended to indicate preference of one priority over another.

Mid-Atlantic RSA Program Research Priorities

Spanning Multiple Species

- Fishery independent surveys for all Mid-Atlantic species, especially in the near shore zone (as provided by the Northeast Area Monitoring and Assessment Program-NEAMAP).

Interactions Between Loligo Squid, Butterfish, Atlantic Mackerel & River Herring

- Evaluate potential improvements to observer sampling procedures on catches of butterfish and River Herring in the Loligo fishery, and River Herring in the mackerel fishery.

- Mesh selectivity studies involving Loligo squid retention and butterfish escapement (both summer and winter).

- Test gear modifications (in addition to mesh size) in the Loligo squid fishery to reduce bycatch of butterfish and other species. One example would be the use of 'Fishing Circle Mesh.'

- Study mortality rates of Loligo squid that pass through trawl mesh.

- Use of videography in documenting Loligo catches without any or minimal butterfish by-catch.

Summer Flounder

- Evaluate the size distribution of discarded fish in the summer flounder recreational fishery by sex. This could be considered for all catch components, which would include the commercial fishery.

Bluefish

- Evaluate amount and length frequency of discards from the commercial and recreational fisheries.

- Collect size and age composition of the fisheries by gear type and statistical area.

- Initiate fishery-dependent and independent sampling of offshore populations of bluefish during the winter months (consider migration, seasonal fisheries and unique selectivity patterns resulting in a bimodal partial recruitment pattern; consider if the migratory pattern results in several recruitment events).

- Develop bluefish index surveys (proof of concept), including abundance/biomass trend estimates for the offshore populations in winter.

Black Sea Bass

- Validate methods used to age black sea bass (scales vs. otoliths).

- Studies focused on life history and reproductive behaviors such as changes in sex ratio as a function of age and size or the evaluation of the sizes of territories in relation to mating or reproduction.

- Increase age sampling across all components of the commercial and recreational fisheries.

- Increase sea sampling to verify information from commercial logbooks toward providing better estimates of discards.

- Develop a fixed gear survey of black sea bass similar to the one developed for scup.

Scup

- Develop indices for scup ages 2+.

- Estimate the fishery components used to calculate scup mortality (commercial and recreational landings, and discards).

- Expand age sampling of scup from commercial and recreational catches, with special emphasis on the aging of large specimens.

Illex squid

- Determine size and age-at-maturity and growth parameters for Illex squid.

C. Program Authority

Statutory authority for this program is provided under sections 303(b)(11), 402(e), and 404(c) of the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C.1853(b)(11), 16 U.S.C. 1881a(e), and 16 U.S.C. 1881(c), respectively. Statutory authority for entering into cooperative agreements and other financial agreements with non-profit organizations is found at 15 U.S.C. 1540.

Framework Adjustment 1 to the Summer Flounder, Scup, and Black Sea Bass FMP, Atlantic Mackerel, Squid, and Butterfish FMP, Bluefish FMP, and Tilefish FMP established the Mid-Atlantic RSA Program (66 FR 42156, August 10, 2001), which is codified in regulations at 50 CFR 648.21(g).

II. Award Information

A. Funding Availability

No Federal funds are provided for research under this notification, but rather the opportunity to fish with the catch sold to generate research funds and to provide compensation for harvesting of RSA quota. The Federal Government may issue an exempted fishing permit (EFP) to selected projects, which may provide special fishing privileges, such as exemption from possession limits and fishery closures. Funds generated from RSA landings shall be used to cover the cost of the research activities, including vessel costs, and to compensate boats for expenses incurred during the collection of the set-aside species. For example, the funds may be used to pay for gear modifications, monitoring

equipment, additional provisions (e.g., fuel, ice, food for scientists), or the salaries of research personnel. The Federal Government is not liable for any costs incurred by the researcher or vessel owner should the sale of RSA quota not fully reimburse the researcher or vessel owner for his/her expenses. Any additional funds, generated through the sale of the fish harvested under the research quota, above the cost of the research activities, shall be retained by the vessel owner as compensation for use of his/her vessel. The Council, in consultation with the Commission, will incorporate RSA quotas for each of the set-aside species for the 2011 fishing year into the Council's annual quota specification recommendations. NMFS will consider the recommended level of RSA as part of the associated rulemaking process.

RSA quota available to applicants under the 2011 Mid-Atlantic RSA Program will be established through the 2011 quota specification rulemaking process. The Council is scheduled to adopt quotas, including RSA quotas, by the end of 2010. Based on Council recommendations, NMFS may choose to adopt less than 3 percent of TAL as a set-aside, or decide not to adopt any set-aside for a given fishery.

The value of RSA quota will be dictated by market conditions prevailing at the time the compensation fishing trips are conducted. To help researchers develop proposals and proposal budgets for the 2011 Mid-Atlantic RSA Program, recent quota amount and quota value information is listed below. This information is for guidance purposes only; it does not reflect actual RSA quota amounts or quota values that will be in effect for fishing year 2011. RSA quota amounts are based on 2010 FMP specifications proposed by the Council. RSA quota values are based on landings data taken from Fisheries of the United States, 2008.

This information is listed below in the following format: Species / RSA quota amount (lb) / RSA quota total value / RSA value per pound.

- Summer flounder / 663,900 lb / \$1,656,845 / \$2.50 lb
- Scup / 423,300 lb / \$478,327 / \$1.13 lb
- Black sea bass / 69,000 lb / \$192,076 / \$2.78 lb
- Loligo squid / 1,256,635 lb / \$1,173,033 / \$0.93 lb
- Bluefish / 877,914 lb / \$376,279 / \$0.42 lb
- Butterfish / 33,069 lb / \$17,282 / \$0.52 lb
- Illex squid / 1,587,328 lb / \$0 / \$0.24 lb (no Illex squid was requested)
- Atlantic mackerel / 0 lb / \$0 / \$0.14 (no Atlantic mackerel was requested)

-Tilefish / 0 lb / \$0 / \$2.26 lb (no tilefish RSA was allocated)

Starting in 2010, successful projects may not have more than 50 vessels authorized to conduct compensation fishing at any given time unless sufficient rationale can demonstrate that more than 50 vessels are needed. In addition, principal investigators and project coordinators should be aware that it may take NMFS up to 4 weeks to process requests to revise the list of vessels that are authorized to conduct compensation fishing.

B. Project/Award Period

The research project may encompass all or part of the 2011 fishing year, beginning January 1 through December 31, 2011. All RSA quota must be caught by December 31, 2011. Proposals requesting RSA harvest prior to or after that time frame will not be considered. Compensation fishing may occur separately from research activities. However, no more than 50 percent of the requested RSA quota should be harvested prior to the onset of the study.

C. Type of Funding Instrument

Proposals selected for funding will be funded through a grant or cooperative agreement depending upon the amount of collaboration, participation, or involvement by NOAA in the conduct of the research project. Examples of substantial involvement may include but are not limited to involvement and/or collaboration between NOAA scientists and a recipient scientist in the conduct of the research project. Funding for contractual arrangements for services or products for delivery to NOAA is not available under this notice.

III. Eligibility Information

A. Eligible Applicants

1. Eligible applicants include institutions of higher education, hospitals, other nonprofits, commercial organizations, individuals, and state, local, and Native American tribal governments. Federal agencies and institutions are not eligible to receive Federal assistance under this notice. Additionally, employees of any Federal agency or Regional Fishery Management Council are ineligible to submit an application under this program. However, Council members who are not Federal employees may submit an application.

2. DOC/NOAA supports cultural and gender diversity and encourages women and minority individuals and groups to submit applications to the RSA program. In addition, DOC/NOAA is strongly committed to broadening the participation of historically black colleges and universities, Hispanic serving institutions, tribal colleges and universities, and

institutions that work in underserved areas. DOC/NOAA encourages proposals involving any of the above institutions.

3. DOC/NOAA encourages applications from members of the fishing community and applications that involve fishing community cooperation and participation.

B. Cost Sharing or Matching Requirement

None required.

C. Other Criteria that Affect Eligibility

1. Research proposals for work using an RSA allocation for the 2011 fishing year must be received within the application submission period to be eligible for consideration.

2. Proposals for different and distinct projects must be submitted separately in accordance with the format requirements in Section IV.B. Multiple stand-alone projects in the same proposal are not acceptable.

3. Many RSA proposals request that research and compensation fishing vessels be exempt from certain regulations within the relevant fishery. The impacts of such an exemption must be analyzed. To streamline the process for the review and approval of EFP applications, during the annual quota specification process, the Council and NMFS may prepare appropriate analyses of the impacts associated with the compensation fishing related to the selected projects. This process is intended to satisfy the analytical and public notice provisions of the EFP regulations at 50 CFR 600.745 for compensation fishing and research activities. However, certain conditions may require the applicant to provide additional analysis associated with such exemptions.

4. If an applicant must submit a request for an EFP to NMFS, it should be received by NMFS at least 60 days before the EFP is needed. No research or RSA harvest is permitted until NMFS notifies the applicant that the applicant's EFP request is approved.

5. Proposals must include written acknowledgements of coordination with all cooperators or partners identified in the proposal. Cooperators include fisheries agencies of those states where RSA quota may be landed, or in whose waters the project is expected to conduct operations. It is the responsibility of applicants to obtain any required state authorizations prior to final project approval. NOAA cannot guarantee that state agencies will accede to any particular request. Contact information for state fishery management

agencies is available from NMFS.

IV. Application and Submission Information

A. Address to Request Application Package

Application information is available at <http://www.grants.gov>. Electronic copies of the Standard Forms for submission of research proposals may be found on the Internet in a PDF (Portable Document Format) version at <http://www.ago.noaa.gov/ago/acquisition/standard.cfm>. Delays may be experienced when registering with Grants.gov near the end of a solicitation period. Therefore, NMFS strongly recommends that you do not wait until the application deadline to begin the registration/application process through the Grants.gov website.

Applicants without Internet access can contact Cheryl A. Corbett, NMFS, Northeast Fisheries Science Center, 166 Water Street, Woods Hole, MA 02543, or by phone at 508-495-2070, or fax at 508-495-2004, or via e-mail at

cheryl.corbett@noaa.gov.

B. Content and Form of Application

1. Applications

a. Applications submitted under the 2011 Mid-Atlantic RSA Program must include a Standard Form 424 (Application for Federal Assistance), including a detailed budget using Standard Form 424A, (Budget Information--Non-Construction Programs), Standard Form 424B (Assurances--Non-Construction Programs), Commerce Department Form CD-511 (Certification Regarding Lobbying Activities). These forms are available at <http://www.ago.noaa.gov/ago/acquisition/standard.cfm>. They should be submitted through the www.grants.gov website. Applicants submitting hard copy must include one signed original and two signed unbound copies of the application (including supporting information).

b. Applicants must identify the specific research priority or priorities to which they are responding. If the proposal addresses more than one priority, it should list the priority that most closely reflects the objectives of the proposal first. Each application must address only one research investigation. If an application does not fulfill a priority category it must be clearly stated in the application. Applicants should not assume prior knowledge on the part of NMFS as to the relative merits of the project described in the application.

2. Required Elements

Proposals should be limited to 10 pages, excluding item "f" below. The format may

vary, but must include:

- a. A project summary of work to be performed;
- b. A narrative project description to include project goals and objectives. Funding objectives should be simple and understandable, as specific and quantitative as possible, addressing the locations and sample procedures as well as quantitative methods with justifications, and attainable within the time, funding, and resources available.

Proposals should be accomplishment-oriented and identify specific performance measures and how investigators anticipate research results being used in the management arena to enhance the understanding of the fishery resource being studied or contributing to the body of information on which management decisions are made (see Section I. B.). The main body of the narrative should be a clear statement of the work to be undertaken and include: (1) Project design and management, including who is responsible for business and financial management, field work, analysis of data, and reporting, expected products, and participants other than applicant; (2) project duration (starting on the first day of the month and ending on the last day of the month); (3) names and affiliations of the principal investigator(s) (PI); (4) contribution and relevance of the proposed work; and (5) a summary of the existing state of knowledge related to the project;

- c. A description of all funding sources (including revenues derived from the sale of the fish harvested under the research quota set-aside) and funding needs. This element of the proposal must include the amount of RSA, in pounds, requested for each species and the expected funds to be generated by the sale of those fish, as well as the expected funds to be allocated to the researcher and any involved fishing vessel;

- d. A budget that includes a breakdown of research costs including labor, vessel, permit, equipment, supplies, and overhead, as applicable;

- e. A list of any Federal or state regulations that the applicant needs to have waived and a brief justification for such a waiver. NOAA cannot exempt a vessel from any requirements imposed by any state. Therefore, applicants are urged to discuss with state fisheries agencies any proposed operations in state waters or landings in their state(s), and must request separately exemptions from state regulations as required. NOAA cannot guarantee that state agencies will accede to any particular exemption request;

- f. Supporting documents, including resumes, letters of intent for vessels to participate in research activities, coordination with state fisheries agencies, and any relevant contracts;

- g. Each proposal must identify the RSA harvesting activities for the participating vessel(s) making trips to collect the set-aside. The vessel(s) selected by the applicant must be listed in the proposal, if possible, or specifically identified prior to final approval by

NOAA. Proposals may request that the quota set-aside be collected separately from the research trips. The separate compensation trips do not necessarily have to be conducted by the same vessel. In general, compensation fishing (in terms of the value of fish landed) should not exceed 2.5 times the cost of the associated research (see Section V.A.);

h. The researcher's proposal must state the amount of funds required to support the research project including any costs for participation by project scientists or consultants and the amount required to compensate the vessel owner for either the collection of set-aside species, or for participation in the research project, or both;

i. The proposal should also include the agreement between the applicant and participating vessel operators demonstrating exactly how the research activity will be funded, if possible, or such agreement must be provided prior to final approval by NOAA. Proposals that employ the use of multiple vessels to harvest the RSA allocation should provide a description of the safeguards that will be used to ensure that the RSA allocation will not be exceeded.

j. As part of this application process, questions from The Environmental Compliance Questionnaire for NOAA Federal Financial Assistance Applicants, OMB Approval Number 0648-0538, must be answered and submitted with the application. These questions include: A1-A3, A11, A12, B1, C1, D1-D3, E1, E4, F1-3, F10-F13, H1-H24, H26, H27-31. This form is located at <http://www.nepa.noaa.gov/grants.html>. Failure to complete all of the indicated questions will result in the application being considered incomplete.

C. Submission Dates and Times

Applications must be received on or before 5PM EST of the application due date. Applications submitted through <http://www.grants.gov> will be accompanied by a date and time receipt indication on them. If an applicant does not have Internet access, hard copy proposals will be accepted and date recorded when they are received in the program office. Electronic or hard copies received after the deadline will not be considered and hard copy applications will be returned to the sender. Mark hard copy proposals Attention 2011 Mid-Atlantic RSA Program.

D. Intergovernmental Review

Applicants will need to determine if their state participates in the intergovernmental review process. This information can be found at the following website: <http://www.whitehouse.gov/omb/grants/spoc.html>. This information will assist applicants in providing either a Yes or No response to Item 16 of the Application Form, SF-424, entitled "Application for Federal Assistance."

E. Funding Restrictions

1. The Department of Commerce Pre-Award Notification Requirements for Grants and Cooperative Agreements contained in the Federal Register notice of February 11, 2008 (73 FR 7696) are applicable to this solicitation.

2. The RSA harvest time frame must fall within January 1 through December 31, 2011. There may be times when compensation (in terms of the timing of RSA harvest) is not concurrent with the timing of the research. In these instances, to help ensure minimum interruption with the proposed research, no more than 50 percent of the requested RSA should be harvested prior to the onset of the study. Proposals requesting RSA harvest prior to or after that time frame will not be considered.

3. The Federal Government shall not be liable for any costs incurred in the conduct of the project. Any additional funds, generated through the sale of the fish harvested under the research quota, above the cost of the research activities, shall be retained by the vessel owner as compensation for use of his/her vessel.

F. Other Submission Requirements

1. NOAA employees (whether full-time, part-time, or intermittent) are not permitted to assist in the preparation of an application, except that staff may provide information on program goals, funding priorities, application procedures, and completion of application forms. Since this is a competitive program, NMFS and NOAA employees will not provide assistance in conceptualizing, developing, or structuring proposals, or write letters of support for an application. However, the Council or NMFS contact person may provide assistance to researchers who are seeking vessels to participate in the collection of set-aside species or directly in research projects. NMFS, in consultation with the Council, may decide to hold a meeting with the public to discuss general topics concerning past RSA program accomplishments and ways to enhance communications on funding priorities and associated potential study methods. Such meetings will be publicized by NMFS through a Federal Register notice, and through the public mailing list maintained by the Council office.

2. The Council staff will bear the primary responsibility for the evaluation of impacts associated with the compensation fishing proposed and the analysis of any requested compensation fishing regulatory exemptions. However, applications that propose research and/or compensation fishing exceeding the scope of analysis provided by the Council staff in the Council's annual specification packages may require the applicant to submit all information necessary for a Supplemental Environmental Assessment to obtain an EFP from NMFS. Should an applicant be required to submit a request for an EFP to NMFS, the request should be received by NMFS at least 60 days before the EFP is needed. A final decision on the applicant's grant request for research quota will not be made until NMFS has

approved the applicant's EFP request, if needed.

3. Proposals must identify the research to be conducted and the total amount of the set-aside requested for the project including its approximate cash value. If an exemption from Federal regulations is proposed, a list of the specific regulations to be exempted and a brief justification for each regulation to be exempted must be included.

4. Projects that are designed to generate data that will ultimately be merged with other governmental databases will be required to submit the data in electronic form in accordance with protocols of the Atlantic Coastal Cooperative Statistics Program (ACCSP) and/or other database formats as may be required by NMFS.

5. Permits and Approvals

a. Vessels conducting certain types of research requiring relief from fishery regulations may be required to obtain an EFP. In order to assist NMFS in determining whether or not the proposed research would require an EFP, researchers should briefly address the following questions:

1. Location and Time of Year - specific time of year and areas where fishing will occur (e.g., coordinates, 10 minutes squares, closed areas, include map if possible);

2. Gear Type Including Mesh Size (trawl, pot, gillnet, dredge, acoustic gear including frequency and amplitude);

3. Fishing Effort (number of vessels, number of tows, length of tows, number of pots, duration of tended gear, sampling frequency, etc.);

4. Catch - estimated species composition and amount (directed catch and bycatch);

5. Regulatory Exemptions - provide any regulatory exemptions that may be necessary in order to conduct the proposed research (exemptions from A or B days-at-sea, closed areas, closed seasons, minimum fish or mesh size, possession limits, etc.).

b. Successful applicants will be required to provide NMFS with a written copy of documentation demonstrating that the applicant has obtained any necessary state authorizations required for conducting research or RSA harvests within that state's waters, or landing RSA harvests at that state's ports. The contact information for state fishery

management agencies is available from NMFS.

To apply for this NOAA Federal Funding Opportunity, please submit applications to <http://www.grants.gov> and use the following funding opportunity number NOAA-NMFS-NEFSC-2011-2002247. Applicants who do not have Internet access may submit their application to Cheryl A. Corbett, NMFS, Northeast Fisheries Science Center, 166 Water Street, Woods Hole, MA 02543.

V. Application Review Information

A. Evaluation Criteria

The review panel convened by NMFS to evaluate proposals submitted in response to this Federal Funding Opportunity (see Section V.B.) will evaluate proposals by assigning scores up to the maximum indicated for each of the following criteria:

1. Importance and/or relevance and applicability of the proposed project: This criterion ascertains whether there is intrinsic value in the proposed work and/or relevance to NOAA, Federal, regional, state, or local activities. For the 2011 RSA program, provide a clear definition of the problem, need, issue, or hypothesis to be addressed. The proposal should describe its relevance to RSA program priorities and detail how the data gathered from the research will be used to enhance the understanding of the fishery resource or contribute to the body of information on which management decisions are made. If not directly related to priorities listed in this solicitation, provide justification why the proposed project should be considered. (25 points)

2. Technical/scientific merit: This criterion assesses whether the approach is technically sound and/or innovative, if the methods are appropriate, and whether there are clear project goals and objectives. Special emphasis will be given to proposals that foster and improve cooperative interactions with NMFS. A clear definition of the approach to be used including description of field work, theoretical studies, and laboratory analysis to support the proposed research, and the ability of the applicant to physically complete RSA harvest during the 2011 calendar year in the area and time proposed is important. The time frame for harvesting the RSA catch and conducting the proposed research should be clearly specified. Activities that take place over a wider versus narrower geographical range, where appropriate, are preferred. (25 points)

3. Overall qualifications of the project: This criterion assesses whether the applicant, and team members, possess the necessary education, experience, training, facilities, and administrative resources to accomplish the project. This includes demonstration of support, cooperation and/or collaboration with the fishing industry, and qualifications/experience of

project participants. Where appropriate, unified versus separate stand-alone proposals on related projects involving multiple principal investigators are preferred. For proposals involving multiple vessels harvesting RSA, special attention will be given to specification of safeguards to ensure that the authorized RSA allocation will not be exceeded. (15 points)

4. Project costs: This criterion evaluates the budget to determine if it is realistic and commensurate with the project needs and time frame. Cost-effectiveness of the project is considered. The anticipated revenue from the RSA should be commensurate with estimated project costs, and generally should not exceed 2.5 times the cost of the associated research. Economic and budget projections should be quantified, to the extent possible. Where appropriate, use of existing equipment (fishing gear) is preferred versus acquisition of new equipment. (25 points)

5. Outreach and education: This criterion assesses whether the project involves a focused and effective education and outreach strategy regarding NOAA's mission to protect the Nation's natural resources. This includes identification of anticipated benefits, potential users, likelihood of success, and methods of disseminating results. Where appropriate, data generated from the research must be formatted in a manner consistent with NMFS and ACCSP databases. A copy of this format is available from NMFS. (10 points)

B. Review and Selection Process

NMFS will solicit written technical evaluations from three or more appropriate private and public sector experts (e.g., industry, academia, or governmental experts) to determine the technical merit of the proposal and to provide a rank score of the project based on the criteria described in the Evaluation Criteria section of this document. Following completion of the technical evaluation, NMFS will convene a management review panel, including the Council's RSA Committee, management and /or other technical experts, to review and individually critique the scored proposals to enhance NOAA's understanding of the proposals. Initial successful applicants may be required, in consultation with NMFS, to further refine/modify the study methodology as a condition of project approval. No consensus recommendations will be made by the Committee members, technical experts, or by the review panel.

C. Selection Factors

The merit review ratings shall provide a rank order to the Selecting Official for final funding recommendations. The program officer may first make recommendations to the Selecting Official applying the selection factors below. The Selecting Official shall award in the rank order unless the proposal is justified to be selected out of rank order based upon one or more of the following factors:

1. Availability of funding.
2. Balance/distribution of funds:
 - a. Geographically
 - b. By type of institutions
 - c. By type of partners
 - d. By research areas
 - e. By project types
3. Whether this project duplicates other projects funded or considered for funding by NOAA or other Federal agencies.
4. Program priorities and policy factors.
5. Applicant's prior award performance.
6. Partnerships and/or participation of targeted groups.
7. Adequacy of information necessary to conduct a National Environmental Policy Act analysis and determination.

Key program policy factors (see 4 above) to be considered by the Selecting Official are: (1) The time of year the research activities are to be conducted; (2) the ability of the proposal to meet the experimental fishery requirements discussed under the Permits and Approvals section of this document; (3) redundancy of research projects; and (4) logistical concerns. Therefore, the highest scoring projects may not necessarily be selected for an award. All approved research must be conducted in accordance with provisions approved by NOAA and provided in an LOA or EFP issued by NMFS. Unsuccessful applications will be returned to the submitter. Successful applications will be incorporated into the award document.

For proposals that request exemptions from existing regulations (e.g., possession limits, closed seasons), the impacts of the proposed exemptions must be analyzed. The Council will analyze the impacts related to compensation fishing as part of the impacts of the proposed specifications it submits to NMFS. However, those individuals with proposals that include vessel activities extending beyond the scope of the Council analysis may be required to provide additional analysis before issuance of an EFP. Any applicants who request regulatory exemptions beyond the scope of the Council may be required to adhere to the regulations governing the issuance of an EFP. As appropriate, NMFS will consult with the Council and successful applicants to secure the information required for granting an exemption if issuance of an EFP is necessary for the research to be conducted. No research

or RSA harvest quota will be allowed until NMFS notifies the applicant that the applicant's EFP request is approved.

Starting in 2010, successful projects may not have more than 50 vessels authorized to conduct compensation fishing at any given time. In addition, principal investigators and project coordinators should be aware that it may take NMFS up to 4 weeks to process requests to revise the list of vessels that are authorized to conduct compensation fishing.

D. Anticipated Announcement and Award Dates

Subject to the availability of RSA quota, review of proposals will occur during the 2 months following the date given in this announcement that the proposals are due to NMFS. Initiation of approved RSA project activities should begin in the early spring of 2011 for most projects. January 1, 2011, should be used as the proposed start date on proposals, unless otherwise directed by the Program Officer.

VI. Award Administration Information

A. Award Notices

Successful applicants will receive notification that their applications have been recommended for selection to the NOAA Grants Management Division. This notification is not an authorization to begin project operations. Official notification of selection, signed by the NOAA Grants Officer, is the authorizing document that allows a project to begin. Notifications will be issued to the Authorizing Official and the Principal Investigator of the project. Successful applicants may be notified either electronically or in writing. NMFS will notify unsuccessful applicants, in writing, by postal mail. If an application is not selected, NOAA will return the proposal and related documents to the applicant.

B. Administrative and National Policy Requirements

Administrative and national policy requirements for all DOC awards are contained in the DOC Pre-Award Notification Requirements for Grants and Cooperative Agreements contained in the Federal Register notice of February 11, 2008 (73 FR 7696). You may obtain a copy of this notice by contacting the agency contact(s) under the Council and Agency Contact(s) section of this document, or by going to the website: <http://www.gpoaccess.gov/fr/index.html>. Applicants whose proposed projects may have an environmental impact should furnish sufficient information to assist proposal reviewers in assessing the potential environmental consequences of supporting the project.

National Environmental Policy Act (NEPA)

NOAA must analyze the potential environmental impacts, as required by NEPA, for

applicant projects or proposals which are seeking NOAA Federal assistance opportunities, including special fishing privileges. Detailed information on NOAA compliance with NEPA can be found at the following NOAA NEPA website: <http://www.nepa.noaa.gov>, including our NOAA Administrative Order 216-6 for NEPA, http://www.nepa.noaa.gov/NAO216_6.pdf, and the Council on Environmental Quality implementation regulations, http://ceq.eh.doe.gov/nepa/regs/ceq/toc_ceq.htm.

Consequently, as part of an applicant's package, and under the description of their program activities, applicants are required to provide detailed information on the activities to be conducted, locations, sites, species and habitat to be affected, possible construction activities, and any environmental concerns that may exist (e.g., the use and disposal of hazardous or toxic chemicals, introduction of non-indigenous species, impacts to endangered and threatened species, aquaculture projects, and impacts to coral reef systems) (See Section IV, B2(j) and Section IV, F(6)). NEPA analysis for RSA project compensation fishing activities is normally conducted by the Council through the Council's annual fishery management specifications process for RSA species. If the Council's NEPA analysis is not adequate, applicants may be required to provide additional specific information that will serve as the basis for any required impact analyses. Applicants may also be requested to assist NOAA in drafting an environmental assessment, if NOAA determines an assessment is required. Applicants will also be required to cooperate with NOAA in identifying and implementing feasible measures to reduce or avoid any identified adverse environmental impacts of their proposal. The failure to do so shall be grounds for the denial of an application.

C. Reporting

Recipients will be required to submit the following financial and performance (technical) reports. These reports are to be submitted electronically. If the recipient does not have Internet access, electronic versions of the reports may be submitted via U.S. Mail on CD-ROM or other acceptable media.

A final Financial Status Report (SF-269) is required to be submitted to the Grants Officer.

Performance or progress reports are required to be submitted to the NOAA Program Officer semi-annually. These reports will be due no later than 30 days following the end of each 6-month period from the start date of an award. The final report is due 90 days after the award expiration.

The format of the final report may vary, but must contain:

1. A brief summary of the completion report (200-word or less abstract);
2. A description of the issue/problem that was addressed;
3. A detailed description of methods of data collection and analyses;
4. A discussion of results and any relevant conclusions presented in a format that is understandable to a non-technical audience. This should include benefits and/or contributions to management decision-making;
5. A list of entities, firms, or organizations that actually performed the work, and a description of how the work was accomplished;
6. A detailed final accounting of all the fish landed, sold, and the disbursement of program income to include a detailed accounting of all funds used to conduct the research, including those provided through the research set-aside; and
7. Data from research projects must be submitted in electronic format with appropriate documentation to NMFS as requested. All raw data collected under grants issued as a result of this solicitation belongs to and shall remain the property of the Federal Government. Grantees will be required to collect, assimilate, maintain and transmit any and all raw data in a format and timeframe that may be specified by NMFS. Partial submissions of the final report will not be accepted. If any of the required elements of the final report are omitted in the original submission, the Federal Program Officer (FPO), shall reject the final report until all requirements have been satisfied.

An electronic version of the final report must be submitted in a format suitable for posting on the web, including all tables, figures and maps. Currently the preferred format is PDF (Portable Document Format), although an alternative format may be specified by NMFS or the MAFMC webmaster.

Principal Investigators must anticipate being requested to provide an oral presentation to the RSA Committee or the Mid-Atlantic Fishery Management Council on the results of their research

VII. Agency Contacts

Information may be obtained from Kathy Collins, Public Affairs Specialist, Mid-Atlantic

Fishery Management Council, by phone 302-674-2331 ext. 14, or via email at kcollins1@mafmc.org, or Cheryl A. Corbett, Cooperative Programs Specialist, NMFS, Northeast Fisheries Science Center, 166 Water Street, Woods Hole, MA 02543, or by phone at 508-495-2070, or fax at 508-495-2004, or via e-mail at cheryl.corbett@noaa.gov; or from Ryan Silva, Cooperative Research Liaison, NMFS, Northeast Regional Office, by phone 978-281-9326, or via e-mail at ryan.silva@noaa.gov.

VIII. Other Information

A. Limitation of Liability

In no event will NOAA or the DOC be responsible for proposal preparation costs if these programs are cancelled because of other agency priorities. Publication of this announcement does not obligate NOAA to award any specific project or to provide special fishing privileges.

B. Freedom of Information Act

U.S. Department of Commerce regulations implementing the Freedom of Information Act (FOIA) are found at 15 C.F.R. Part 4, "Public Information." These regulations set forth rules for the Department regarding making requested materials, information, and records publicly available under the FOIA. Applications submitted in response to this Federal Funding Opportunity may be subject to requests for release under the Act. In the event that an application contains information or data that the applicant deems to be confidential commercial information which is exempt from disclosure under FOIA, that information should be identified, bracketed, and marked as "Privileged, Confidential, Commercial or Financial Information." Based on these markings, the confidentiality of the contents of those pages will be protected to the extent permitted by law.